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UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

NATIONAL LABOR RELATIONS BOARD :

:

Petitioner : No. 17-1220

•

ATLANTIC NORTHEAST TRANSPORT, INC.

v.

: Board Case No.:

: 22-CA-175081

Respondent

OPPOSITION OF THE NATIONAL LABOR RELATIONS BOARD TO ATLANTIC NORTHEAST TRANSPORT'S MOTION TO RECALL THE CERTIFIED JUDGMENT

To the Honorable, the Judges of the United States Court of Appeals for the Third Circuit:

The National Labor Relations Board ("the Board"), by its Deputy Associate General Counsel, opposes the motion of Atlantic Northeast Transport, Inc. ("ANT"), to recall the certified judgment in the above-captioned case. It is well established that recall of a certified judgment is an extraordinary remedy that can only be granted in exceptional circumstances. ANT attempts to demonstrate exceptional circumstances by misstating the facts surrounding the Board's service in this case. ANT's motion is nothing more than an attempt to avoid the Board's Court-enforced remedial orders, which require ANT, among other things, to cease threatening its employees and make whole employees harmed by ANT's unlawful actions. The Board requests that the Court deny its motion to recall the certified judgment.

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I. FACTS AND PROCEDURAL HISTORY

On July 21, 2016, the Board's General Counsel issued a complaint and notice of hearing in Case No. 22-CA-175081, charging Respondent with certain violations of the Act. Having not received an answer, on August 10, 2016, the Region sent Respondent a letter extending the time to file an answer to August 17, 2016, and stating that if no answer was received, the Regional Office would file a default judgment motion with the Board. Having still not received an answer, on September 20, 2016, the General Counsel filed with the Board a Motion for Default Judgment. The Board transferred the case to itself and issued a Notice to Show Cause, giving Respondent until October 5, 2016, to file a response. The Board did not receive any response and, on November 30, 2016, the Board issued its Decision and Order, granting the Motion for Default Judgment.

On January 26, 2017, the Board filed an application for summary entry of a judgment enforcing an order of the National Labor Relations Board. On March 8, 2017 the Court granted the Board's application and enforced the Board's order and entered a certified judgment. On March 10, 2017, counsel for ANT entered an appearance. On March 21, 2017, ANT filed its answer to the Board's application. On April 18, 2017, ANT filed a motion with the Circuit to recall its certified judgment.

II. ARGUMENT

In accordance with Federal Rules of Appellate Procedure 41(c), this Court's

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normal practice is to issue a certified copy of its judgment, with any opinion attached, in lieu of issuing a formal mandate in a separate document. Accordingly, the grounds for recalling a mandate are similarly applicable to the grounds for recalling a certified judgment.

The Supreme Court has narrowly limited the circumstances in which courts of appeals can recall a mandate. Specifically, "in light of 'the profound interests in repose' attaching to the mandate of a court of appeals . . . the power [to recall a mandate] can be exercised only in extraordinary circumstances." Calderon v. Thompson, 523 U.S. 538, 550 (1998) (citation omitted). The "sparing use" of the power to recall a mandate shows that it is "one of last resort, to be held in reserve against grave, unforeseen contingencies." Id. "Exceptional circumstances" must be "sufficient to override the strong public policy that there should be an end to a case in litigation, that when the judgment therein becomes final the rights or liabilities of the parties therein are finally determined, and that the parties thereafter are entitled to rely upon such adjudication as a final settlement of their controversy." Hines v. Royal Indem. Co., 253 F.2d 111, 114 (6th Cir. 1958) (citation omitted). Thus, "exceptional circumstances" are those that require that the mandate be recalled to "prevent injustice." Gradsky v. United States, 376 F.2d 993, 995 (5th Cir. 1967) (showing of good cause expressed through likelihood of injustice). See also, Bellsouth Corp. v. FCC, 96 F.3d 849, 851-52 (6th Cir. 1996) (collecting cases from

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other circuits placing similar requirement of exceptional circumstances on parties seeking a recall of the mandate). In this case, ANT cannot meet the exceptional circumstances test when counsel for ANT states it received notice regarding the Board's application to the Court for enforcement of its order on February 24, 2017. Counsel could have contacted the Board or the Court, could have filed an appearance and certainly could have requested an extension of time. Such motions are routinely granted. But counsel did not act until filing an appearance on March 10th, two days after the Court issued its judgment.

In any event, as to the merits of ANT's argument, ANT complains that the Board was serving all the papers in the underlying case—the complaint, the letter extending the time for the answer, the motion for default judgment, and the Board's Decision and Order granting the motion for default judgment—to the wrong address. The Board sent these documents to "71 Central Avenue, Kearny, New Jersey 07032." ANT states that its correct address is "71 Central Avenue, South Kearny, New Jersey 07032." But ample evidence in this case supports the Board's finding that Kearny, without South in front of it, was an appropriate and effective address.

First, and attached hereto (Attachment A), is a certified mail receipt for the Board's September 20, 2016 Motion for Default judgment showing that delivery to "71 Central Avenue, Kearney, New Jersey 07032-8870" was signed as received by

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ANT. Indeed, the attached search result from the United States Postal Service, Quick Tools, Look Up a Zip Code website page further confirms that "71 Central Avenue, Kearny, NJ 07032" is an appropriate address for ANT.¹ (Attachment B) The zip code for this employer is the same regardless whether Kearny or South Kearny is used; the post office is the same regardless whether Kearny or South Kearny is used; and there is only one 71 Central Avenue served by this post office.

Moreover, as the Board's decision noted, 364 NLRB No. 155 n.1, the earlier July 21, 2016 complaint was also served by certified mail at this address and that was returned as "unclaimed," as opposed to "no such addressee" or "undeliverable." As the Board noted, "it is well settled that a respondent's failure or refusal to claim certified mail or to provide for receiving appropriate service cannot serve to defeat the purposes of the Act. See *Cray Construction Group, LLC*, 341 NLRB 944, 944 fn.5 (2004); *I.C.E. Electric, Inc.*, 339 NLRB 247, 247 fn. 2 (2003)."

Finally, the Board noted that it sent to the Kearny address the August 10, 2016 letter advising ANT that a motion for default judgment would be filed unless an answer was received by August 17. This was sent by regular mail and not returned. As the Board noted, 364 NLRB No. 155 at 1 n.2, "failure to return documents served by regular mail indicated actual receipt" by the party to whom it

¹ United States Postal Service, Quick Tools, Look Up a Zip Code page link: https://tools.usps.com/go/ZipLookupResultsAction!input.action?resultMode=1&companyName=&address1=71+Central+Ave&address2=&city=&state=Select&urban Code=&postalCode=&zip=07032

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is addressed. Id.; *Lite Flight, Inc.*, 285 NLRB 649, 650 (1987), enfd. sub nom. *NLRB v. Sherman*, 843 F.2d 1392 (6th Cir. 1988)."

In sum, while ANT's motion quotes now-Chairman Miscamarra's concurrence as stating "there may be some question regarding whether the Respondent's correct address (used for purposes of service) is 'Kearny, New Jersey' or 'South Kearny, New Jersey,'" now-Chairman Miscamarra goes on to correctly state, "[i]t appears that both addresses result in delivery to the same physical location." 364 NLRB No. 155 at 1 n.3.

Accordingly, the facts set forth above demonstrate that the Board used an appropriate and effective address to serve ANT and, as such, there is no basis for ANT's motion to recall the certified judgment.

WHEREFORE, the Board respectfully requests that the Court deny ANT's motion to recall the certified judgment.

Respectfully submitted,

/s/ Linda Dreeben

Linda Dreeben
Deputy Associate General Counsel
NATIONAL LABOR RELATIONS BOARD
1015 Half St., S.E.
Washington, D.C. 20570
(202) 273-2960

Dated at Washington, D.C. this 24th day of April, 2017

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SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON I	DELIVERY
 Complete items 1, 2, and 3. Print your name and address on the reverse so that we can return the card to you. 	A. Signature	☐ Agent ☐ Addressee
Attach this card to the back of the mailpiece, or on the front if space permits.	B. Received by (Printed Name)	C. Date of Delivery
1. Article Addressed to: Mathew Jenove V.P.	D. Is delivery address different from If YES, enter delivery address to 0.7 u.3	
71 Central Avenue	(((((((((((((((((((•
	Service Type Adult Signature Adult Signature Restricted Delivery entified Mail® Certified Mail Restricted Delivery	☐ Priority Mail Express®☐ Registered Mail™☐ Registered Mail Restricted Delivery☐ Return Receipt for
9590 9401 0043 5168 7141 38 22. Article Number (Transfer from service label) 7015 1520 0000 8112 648	Collect on Delivery Collect on Delivery Collect on Delivery Restricted Delivery Insured Mail (over \$500)	Merchandise ☐ Signature Confirmation ☐ Signature Confirmation Restricted Delivery
PS Form 3811, April 2015 PSN 7530-02-000-9053	Γ	omestic Return Receipt

6487	For delivery information, visit our websit	te at www.usps.com®
8115	OFFICIAL Certified Mail Fee \$ Extra Services & Fees (check box, add fee as appropriate)	USE
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1.520	\$ Total Postage and Fees \$ ##	STATE NWO
7015	Street and Apl. No., or PO BOX NO.	Handie NE

Case: 17-1220 Document: 003112603067 Page: 8 Date Filed: 04/24/2017 First-Cas Mail UNITED STATES POSIDOSITIONS Postoce & Fees Paid US**Po** Per 16 1-10 OTO CH 12 OCT '16 Sender: Please print your name, address, and ZIP+4 NATIONAL LABOR RELATIONS BOAR **REGION 22** 20 WASHINGTON PLACE 5TH FLOOR NEWARK, NEW JERSEY 07102 USPS TRACKING# 0043 5168

Certified Mail service provides the following benefits: A receipt (this portion of the Certified Mail label). for an electronic return rec. pt, see a

- A unique identifier for your mailpiece.
- Electronic verification of delivery or attempted delivery.
- A record of delivery (including the recipient's signature) that is retained by the Postal Service** for a specified period.

Important Reminders:

- You may purchase Certified Mail service with First-Class Mail®, First-Class Package Service®, or Priority Mail® service.
- Certified Mail service is not available for international mail.
- Insurance coverage is viot available for purchase with Certified Mail service. However, the purchase of Certified Mail service does not change the insurance coverage automatically included with certain Priority Mail items.
- For an additional fee, and with a proper endorsement on the mailpiece, you may request the following services:
 - Return receipt service, which provides a record of delivery (including the recipient's signature). You can request a hardcopy return receipt or an electronic version. For a hardcopy return receipt, complete PS Form 3811, Domestic Return

- for an electronic return rec pt, see a retail associate for assistance. To receive a duplicate return receipt for no additional fee, present this USPS®-postmarked Certified Mail receipt to the retail associate.
- · Restricted delivery service, which provides delivery to the addressee specified by name, or to the addressee's authorized agent.
- Adult signature service, which requires the signee to be at least 21 years of age (not available at retail).
- Adult signature restricted delivery service, which requires the signee to be at least 21 years of age and provides delivery to the addressee specified by name, or to the addressee's authorized agent (not available at retail).
- To ensure that your Certified Mail receipt is accepted as legal proof of mailing, it should bear a USPS postmark. If you would like a postmark on this Certified Mail receipt, please present your Certified Mail item at a Post Office for postmarking. If you don't need a postmark on this Certified Mail receipt, detach the barcoded portion of this label, affix it to the mailpiece, apply appropriate postage, and deposit the mailpiece.

Receipt; attach PS Form 3811 to your mailpiece; IMPORTANT: Save this receipt for your records.

PS Form 3800, April 2015 (Reverse) PSN 7530-02-000-9047

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UNITED STATES OF AMÉRICA BEFORE THE NATIONAL LABOR RELATIONS BOARD REGION 22

ATLANTIC NORTHEAST TRANSPORT, INC.

and

Case 22-CA-175081

NOORUDDIN HANIF

AFFIDAVIT OF SERVICE OF: Motion for Default Judgment

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on September 20, 2016, I served the above-entitled document(s) by certified and regular mail and/or electronic mail on the following parties:

ELECTRONIC MAIL

David Tykulsker, Esq., David Tykulsker & Associates at david@dtesq.com

CERTIFIED MAIL & REGULAR MAIL

Matthew Jenove, Vice President Atlantic Northeast Transport, Inc. 71 Central Avenue Kearny, NJ 07032-8870

September 20, 2016

Evamaria Cox, Designated Agent of NLRB

Name

Date

Signature

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Attachment B

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UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

NATIONAL LABOR RELATIONS BOARD :

v.

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Petitioner : No. 17-1220

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ATLANTIC NORTHEAST TRANSPORT, INC. : Board Case No.:

: 22-CA-175081

Respondent

CERTIFICATE OF SERVICE

I hereby certify that on April 24, 2017, I electronically filed the foregoing document with the Clerk of the Court for the United States Court of Appeals for the Third Circuit. I certify that the foregoing document was served on their counsel of record through the CM/ECF system if they are registered users or, if they are not by serving a true and correct copy at the addresses listed below:

David K. Broderick One Newark Center 1085 Raymond Boulevard, 8th Floor Newark, New Jersey 07102

/s/ Linda Dreeben

Linda Dreeben Deputy Associate General Counsel National Labor Relations Board 1099 14th Street, NW Washington, DC 20570

Dated at Washington, D.C. this 24th day of April, 2015